

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION**

IN RE PG&E CORPORATION SECURITIES
LITIGATION

Civil Action No. 5:18-cv-03509-EJD

**CLASS SETTLEMENT PROOF OF
CLAIM AND RELEASE**

A. GENERAL INSTRUCTIONS

1. To recover as a Settlement Class Member in connection with the proposed Settlement reached in *In re PG&E Corp. Securities Litigation*, Civil Action No. Case No. 5:18-cv-03509 (the “Class Action”), you must complete and, on page 10 below, sign this Class Settlement Proof of Claim and Release form (“Class Settlement Claim Form” or “Claim Form”). If you fail to submit a timely and properly addressed (as set forth in paragraph 3 below) Class Settlement Claim Form, your claim may be rejected and you may not receive any recovery from the Settlement.

2. Submission of this Claim Form, however, does not ensure that you will share in the proceeds of the Settlement.

3. THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT WWW.PGECORPORATIONSECURITIESLITIGATION.COM NO LATER THAN JULY 6, 2026, OR, IF MAILED, BE POSTMARKED NO LATER THAN JULY 6, 2026, ADDRESSED AS FOLLOWS:

PG&E Corp. Sec. Litig.
c/o A.B. Data, Ltd.
P.O. Box 173069
Milwaukee, WI 53217
(866) 302-5617

www.PGECorporationSecuritiesLitigation.com

If you are NOT a member of the Settlement Class (as defined in the Notice of Pendency of Class Action, Proposed Settlement, and Motion for Attorneys’ Fees and Expenses (“Notice”), available at www.PGECorporationSecuritiesLitigation.com, DO NOT submit a Claim Form.

4. If you are a member of the Settlement Class, and you have not timely requested exclusion in response to the Postcard Notice, you are bound by the terms of any judgment entered in the Class Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM OR RECEIVE A PAYMENT.

B. CLAIMANT IDENTIFICATION

5. If you, or someone you represent, purchased or otherwise acquired PG&E Securities during the period from April 29, 2015 through November 15, 2018, both dates inclusive (the “Class Period”) and that Plaintiffs alleged were damaged thereby, including but not limited to the following Note Offerings: (i) April 2018 Offering, 3.95% Notes due 12/1/2047 (CUSIP 694308HY6); (ii) April 2018 Offering, 3.3% Notes due 12/1/2027 (CUSIP 694308HW0); (iii) December 2016 and March 2017 Offerings, 4% Notes due 12/1/2046 (CUSIP 694308HR1); (iv) March 2017 Offering, 3.3% Notes due 3/15/2027 (CUSIP 694308HS9); and (v) March 2016 Offering, 2.95% Notes due 3/1/2026 (CUSIP 694308HP5),¹ use Part I of this form entitled “Claimant Identification” to list the claimant name, mailing address, and account information, if relevant (such as for a claim submitted on behalf of an IRA, Trust, or estate account). THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL PURCHASER(S) OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S). Please list the most current claimant or account name, as you would like the information to appear on a check, if eligible for payment. Please also provide a telephone number and/or email address, as the Claims Administrator may need to contact you with questions about the claim submitted. **If your Claimant Identification information changes, please notify the Claims Administrator in writing at the address above.**

6. All joint purchasers must sign this form. If you are acting in a representative capacity on behalf of a Settlement Class Member (for example, as an executor, administrator, trustee, or other representative), you must submit evidence of your

¹ A list of additional eligible PG&E Securities is available at www.PGECorporationSecuritiesLitigation.com.

current authority to act on behalf of that Settlement Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents or other documents which provide you with the authority to submit the claim. Please also indicate your representative capacity under your signature on page 10 of this Claim Form.

C. IDENTIFICATION OF TRANSACTIONS

7. Use Part II of this form entitled “Schedule of Transactions in PG&E Equity Securities” and Part III entitled “Schedule of Transactions in PG&E Debt Securities” to supply all required details of your transaction(s) in PG&E Securities, **even if you previously submitted a Rescission or Damage Claim in PG&E’s or the Utility’s Chapter 11 Cases before the Bankruptcy Court.** If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

8. On the schedules, provide all of the requested information with respect to *all of your* purchases, acquisitions, and sales of PG&E Securities during the time periods requested, whether such transactions resulted in a profit or a loss. You must also provide the amount of your holdings at the close of trading on certain dates. Failure to report all such transactions may result in the rejection of your claim.

9. List each transaction separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

10. The date of covering a “short sale” is deemed to be the date of purchase of PG&E common stock. The date of a “short sale” is deemed to be the date of sale of PG&E common stock.

11. Claims must be accompanied by adequate supporting documentation for the transactions reported in the form of broker confirmation slips, broker account statements, an authorized statement from the broker containing the transactional information found in a broker confirmation slip, or such other documentation as is deemed adequate by the Claims Administrator and/or Lead Counsel. Failure to provide sufficient documentation could delay verification of your claim or result in rejection of your claim. Claimants bear the burden of establishing their right to a recovery from the Net Settlement Fund.

12. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be required, to submit information regarding their transactions in electronic files. (This is different than submitting a claim using the Settlement website.) All such claimants **MUST** also submit a manually signed paper Claim Form. If you wish to file your claim electronically, you must contact the Claims Administrator at (866) 302-5617 to obtain the required file layout or download an Excel template at www.PGECorporationSecuritiesLitigation.com. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email to that effect with your claim number after processing your file and respective account information. Do not assume that your file has been received or processed until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact info@PGECorporationSecuritiesLitigation.com to inquire about your file and confirm it was received and acceptable.

PART II: SCHEDULE OF TRANSACTIONS IN PG&E EQUITY SECURITIES

PG&E PUBLICLY TRADED COMMON STOCK

1. BEGINNING HOLDINGS – State the total number of shares of PG&E publicly traded common stock held at the opening of trading on April 29, 2015. If none, write “0” or “Zero.”
(Must submit documentation.)

2. PURCHASES/ACQUISITIONS DURING THE CLASS PERIOD – Separately list each and every purchase of PG&E publicly traded common stock from April 29, 2015 through and including November 15, 2018. (Must submit documentation.)

Date of Purchase/Acquisition (List Chronologically) (MM/DD/YY)	Number of Shares Purchased	Purchase Price Per Share	Total Purchase Price (excluding taxes, commissions, and fees)
		\$	\$
		\$	\$
		\$	\$
		\$	\$

3. PURCHASES/ACQUISITIONS DURING THE 90-DAY LOOK-BACK PERIOD –
State the total number of shares of PG&E publicly traded common stock purchased from November 16, 2018 through and including February 12, 2019.² (Must submit documentation.)

4. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOK-BACK PERIOD – Separately list each and every sale of PG&E publicly traded common stock from April 29, 2015 through and including the close of trading on February 12, 2019. (Must submit documentation.)

Date of Sale (List Chronologically) (MM/DD/YY)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)
		\$	\$
		\$	\$
		\$	\$
		\$	\$

5. ENDING HOLDINGS – State the total number of shares of PG&E publicly traded common stock held as of the close of trading on February 12, 2019. If none, write “0” or “Zero.”
(Must submit documentation.)

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX.**

² Information requested in this Claim Form with respect to your purchases on November 16, 2018 through and including the close of trading on February 12, 2019, is needed only in order for the Claims Administrator to confirm that you have reported all relevant transactions. Purchases during this period are not eligible for a recovery because these purchases are outside the Class Period.

PG&E PREFERRED STOCK

1. BEGINNING HOLDINGS - State the total number of shares of PG&E preferred stock held at the opening of trading on April 29, 2015. If none, write "0" or "Zero." (Must submit documentation.)

CUSIP	Shares Held
694308883	
694308800	
694308701	
694308602	
694308503	
694308404	
694308305	
694308206	

2. PURCHASES/ACQUISITIONS DURING THE CLASS PERIOD – Separately list each and every purchase of PG&E preferred stock from April 29, 2015 through and including November 15, 2018. (Must submit documentation.)

Date of Purchase (List Chronologically) (MM/DD/YY)	CUSIP	Number of Shares Purchased	Purchase Price Per Share	Total Purchase Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$
			\$	\$

3. PURCHASES/ACQUISITIONS DURING 90-DAY LOOKBACK PERIOD – State the total number of shares of PG&E preferred stock purchased from November 16, 2018 through and including February 12, 2019.³ (Must submit documentation.)

CUSIP	Shares Purchased
694308883	
694308800	
694308701	
694308602	
694308503	
694308404	
694308305	
694308206	

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
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³ Information requested in this Claim Form with respect to your purchases on November 16, 2018 through and including the close of trading on February 12, 2019, is needed only in order for the Claims Administrator to confirm that you have reported all relevant transactions. Purchases during this period are not eligible for a recovery because these purchases are outside the Class Period.

4. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD – Separately list each and every sale of PG&E preferred stock from April 29, 2015 through and including the close of trading on February 12, 2019. (Must submit documentation.)

Date of Sale (List Chronologically) (MM/DD/YY)	CUSIP	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$
			\$	\$

5. ENDING HOLDINGS – State the total number of shares of PG&E preferred stock held as of the close of trading on February 12, 2019. If none, write “0” or “Zero.” (Must submit documentation.)

CUSIP	Shares Held
694308883	
694308800	
694308701	
694308602	
694308503	
694308404	
694308305	
694308206	

EXCHANGE-TRADED OPTIONS ON PG&E COMMON STOCK

Purchases/Repurchases

1. BEGINNING HOLDINGS – State the total number of exchange-traded call or put options on shares of PG&E publicly traded common stock held as of the opening of trading on April 29, 2015. If none, write “0” or “Zero.” (Must submit documentation.)

Option Type (Call or Put)	Strike Price of Option Contract	Expiration Date of Option Contract (Month/Day/Year)	Number of Option Contracts in Which You Had an Open Interest
	\$		
	\$		
	\$		
	\$		

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX.**

2. PURCHASES/ACQUISITIONS – I made the following purchases/repurchases of exchange-traded options on PG&E common stock during the period from April 29, 2015 through and including November 15, 2018:

Option Type (Call or Put)	Date of Transaction (List Chronologically) Month/Day/Year	Number of Option Contracts Acquired	Expiry Date (Month/Year)	Strike Price	Transaction Price Per Option Contract	Total Purchase Price (excluding taxes, commissions, and fees)	Insert an "E" if Exercised. Insert an "X" if Expired.	Exercise Date (Month/Day/Year)
				\$	\$	\$		
				\$	\$	\$		
				\$	\$	\$		
				\$	\$	\$		

3. SALES – I sold/wrote the following exchange-traded put options on PG&E common stock during the period from April 29, 2015 through and including November 15, 2018, inclusive.

Option Type (Call or Put)	Date of Transaction (List Chronologically) Month/Day/Year	Number of Option Contracts Sold	Expiry Date (Month/Year)	Strike Price	Transaction Price Per Option Contract	Total Sale Price (excluding taxes, commissions, and fees)	Insert an "A" if Assigned. Insert an "X" if Expired.	Assignment Date (Month/Day/Year)
				\$	\$	\$		
				\$	\$	\$		
				\$	\$	\$		
				\$	\$	\$		

4. ENDING HOLDINGS – State the total number of exchange-traded call or put options on shares of PG&E publicly traded common stock held as of the close of trading on November 15, 2018. If none, write "0" or "Zero." (Must submit documentation.)

Option Type (Call or Put)	Strike Price of Option Contract	Expiration Date of Option Contract (Month/Day/Year)	Number of Option Contracts in Which You Had an Open Interest
	\$		
	\$		
	\$		
	\$		
	\$		
	\$		

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX.

PART III: SCHEDULE OF TRANSACTIONS IN PG&E DEBT SECURITIES

EXCHANGE ACT PG&E NOTES⁴

1. BEGINNING HOLDINGS - State the total number of PG&E Exchange Act Notes held at the opening of trading on April 29, 2015. If none, write "0" or "Zero." (Must submit documentation.)

CUSIP	Amount of Note Held

2. PURCHASES/ACQUISITIONS DURING THE CLASS PERIOD – Separately list each and every purchase of PG&E Exchange Act Notes from April 29, 2015 through and including November 15, 2018. (Must submit documentation.)

Date of Purchase (List Chronologically) (MM/DD/YY)	CUSIP	Number of Notes Purchased	Purchase Price Per Note	Total Purchase Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX.**

⁴ A list of the PG&E Exchange Act Notes is available at www.PGECorporationSecuritiesLitigation.com.

3. PURCHASES/ACQUISITIONS DURING 90-DAY LOOKBACK PERIOD – State the total number of PG&E Exchange Act Notes purchased from November 16, 2018 through and including February 12, 2019.⁵ (Must submit documentation.)

CUSIP	Amount of Note Purchased

4. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD – Separately list each and every sale of PG&E Exchange Act Notes from April 29, 2015 through and including the close of trading on February 12, 2019. (Must submit documentation.)

Date of Sale (List Chronologically) (MM/DD/YY)	CUSIP	Number of Notes Sold	Sale Price Per Note	Total Sale Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$

5. ENDING HOLDINGS – State the total number of PG&E Exchange Act Notes held as of the close of trading on February 12, 2019. If none, write “0” or “Zero.” (Must submit documentation.)

CUSIP	Amount of Note Held

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX.

⁵ Information requested in this Claim Form with respect to your purchases on November 16, 2018 through and including the close of trading on February 12, 2019, is needed only in order for the Claims Administrator to confirm that you have reported all relevant transactions. Purchases during this period are not eligible for a recovery because these purchases are outside the Class Period.

SECURITIES ACT PG&E NOTES⁶

1. BEGINNING HOLDINGS – State the total number of PG&E Securities Act Notes held as of the opening of trading on April 29, 2015. If none, write “0” or “Zero.” (Must submit documentation.)

CUSIP	Amount of Note Held

2. PURCHASES/ACQUISITIONS OF SECURITIES ACT NOTES – Separately list each and every purchase of PG&E Securities Act Notes from the Note Issue Date (Table 9 of POA) through and including June 30, 2020. (Must submit documentation.)

Date of Purchase (List Chronologically) (MM/DD/YY)	CUSIP	Number of Notes Purchased	Purchase Price Per Note	Total Purchase Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$

3. SALES OF SECURITIES ACT NOTES – Separately list each and every sale of PG&E Securities Act Notes from purchase date through and including the close of trading on June 30, 2020. (Must submit documentation.)

Date of Sale (List Chronologically) (MM/DD/YY)	CUSIP	Number of Notes Sold	Sale Price Per Note	Total Sale Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$
			\$	\$

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX.**

⁶ A list of the PG&E Securities Act Notes is available at www.PGECorporationSecuritiesLitigation.com.

4. ENDING HOLDINGS – State the total number of PG&E Securities Act Notes held as of the opening of trading on July 1, 2020. If none, write “0” or “Zero.” (Must submit documentation.)

CUSIP	Notes Held

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, ADD THE TRANSACTIONS, AND CHECK THIS BOX.

D. SUBMISSION TO JURISDICTION OF DISTRICT COURT AND ACKNOWLEDGMENTS

13. I (We) submit this Claim Form under the terms of the Stipulation and Agreement of Settlement described in the Notice and available at www.PGECorporationSecuritiesLitigation.com. I (We) also submit to the jurisdiction of the United States District Court, Northern District of California, with respect to my (our) claim as a Settlement Class Member.

14. I (We) further acknowledge that, upon the Settlement Agreement Effective Date, I will (we will) be bound by and subject to the terms of any judgment that may be entered in the Class Action, including the release of the Released Plaintiff Claims as against the Released Defendant Parties. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim in connection with this Settlement covering the same trades of PG&E Securities during the relevant periods and know of no other person having done so on my (our) behalf. I (We) hereby warrant and represent that I (we) have included information about all of my (our) trading in PG&E Securities and holding positions during the requested time periods.

15. I (We) declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed this _____ day of _____, in _____, _____.
(Month / Year) (City) (State/Country)

 Signature of Claimant

 Signature of Joint Claimant, if any

 Print Name of Claimant

 Print Name of Joint Claimant, if any

 (Capacity of person(s) signing, e.g., Beneficial Purchaser, Executor, or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

1. Please sign above.
2. Remember to attach copies of supporting documentation.
3. **Do not send** originals of certificates or other documentation as they will not be returned.
4. Keep a copy of your Claim Form and all supporting documentation for your records.
5. If you desire an acknowledgment of receipt of your Claim Form, please send it Certified Mail, Return Receipt Requested.
6. If you move, please send your new address to the address below.
7. **Do not use red pen or highlighter** on the Claim Form or supporting documentation.

**THIS CLAIM FORM MUST BE SUBMITTED ONLINE OR, IF MAILED, BE POSTMARKED NO LATER THAN
JULY 6, 2026, ADDRESSED AS FOLLOWS:**

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