



PG&E CORPORATION SECURITIES LITIGATION
ELECTRONIC CLAIM FILING GUIDELINES



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Overview

Electronic Claim Filing applies to institutions or entities that are filing multiple Proofs of Claim and Release on behalf of themselves and/or others, as well as individuals or entities that are filing Proofs of Claim and Release with a large number of transactions (50+).

Electronic Claim Filings are subject to rejection if not prepared in compliance with these Electronic Claim Filing Guidelines.

PLEASE NOTE: No Electronic Claim Filing will be considered to have been properly submitted unless the Claims Administrator issues to the filer a written acknowledgment of receipt and acceptance of electronically submitted data.

Electronic Filing Requirements

Complete and Sign a Proof of Claim and Release:

- One executed Proof of Claim and Release should be completed per submission. This Proof of Claim and Release serves as a master Proof of Claim and Release for one or all of the accounts included on your file. The Proof of Claim and Release is attached hereto as Appendix B.
- Separate Claims should be submitted for each separate legal entity (*e.g.*, a claim from joint owners should not include separate transactions of just one of the joint owners, and an individual should not combine his or her IRA transactions with transactions made solely in the individual's name). Conversely, a single Claim should be submitted on behalf of one legal entity including all transactions made by that entity, no matter how many separate accounts that entity has (*e.g.*, a corporation with multiple brokerage accounts should include all transactions made in all accounts on one Claim). However, if a single person or legal entity had multiple accounts that were separately managed, separate Claims may be submitted for each such account.
- **Please note**, for electronically submitted claims, one Claim will be created for each separate account included in your file. If you prefer that a single Claim be created on behalf of each legal entity in your file, including all transactions made by that person or entity no matter how many separate accounts that person or entity has, please clearly indicate that you request "filing by legal entity" in your submission e-mail and cover letter.
- Complete the first page of the Proof of Claim and Release. Be sure to include company name, account name/number, and company address. If submitting an electronic file on behalf of several accounts, enter "Various Accounts" for the account name/number.
- Complete the signature page on the Proof of Claim and Release. If you are filing on behalf of your clients, you must include a letter or document providing your authorization to sign on behalf of your clients, as set forth in Appendix C.
- A valid email address must be on file with the Claims Administrator. Communication regarding deficiencies and rejections on electronically filed claims may be conducted by email. A valid email address **MUST** be included on the Proof of Claim and Release, and the email address provided **MUST** be updated in the event the contact person or email address changes; it is the sole responsibility of the filing party to maintain up-to-date, complete contact information with the Claims Administrator.

Prepare a cover letter:

- A cover letter **MUST** be included with the master Proof of Claim and Release. The cover letter must include the total number of accounts; total number of transactions; total number of shares purchased, acquired, and sold; and contact name(s) with phone number(s) and email address(es). Please see the sample cover letter as set forth in Appendix D.



Prepare a data file:

- An Excel spreadsheet or other electronic file containing account information and transactional data **MUST** be prepared in accordance with A.B. Data, Ltd.'s Electronic Claim Filing Template Mapping Instructions found in Appendix E. The following formats are acceptable: a) MEDIA: CD, DVD, and FLASH DRIVE and b) DATA: ASCII, MS EXCEL, and MS ACCESS. For your convenience, an Excel spreadsheet template is available for your use and may be downloaded from www.PGECORPORATIONSECURITIESLITIGATION.com.
- If you cannot provide the information in the aforementioned formats or you have other requests, questions, concerns, or comments, please email A.B. Data, Ltd., at efiling@abdata.com or you may call 877-354-3791 to speak to an Electronic Claims Filing Specialist.

Mail your executed master Proof of Claim and Release with a cover letter and media format to:

In re PG&E Sec. Litig.
ATTN: ELECTRONIC CLAIMS DEPARTMENT
C/O A.B. DATA, LTD.
PO BOX 173069
MILWAUKEE, WI 53217

Or:
In re PG&E Sec. Litig.
ATTN: ELECTRONIC CLAIMS DEPARTMENT
C/O A.B. DATA, LTD.
3410 WEST HOPKINS STREET
MILWAUKEE, WI 53216

Documentation Requirements

A.B. Data, Ltd., reserves the right to request additional documentation at any time after your Proof of Claim and Release and file have been received and processed. The documentation provided should be sufficient to verify the validity and accuracy of the data provided in the file.

If you provided a letter/affidavit attesting to the truth and accuracy of your data when initially submitting your file, A.B. Data may still require specific documentary evidence (trade confirmations, monthly statement, or equivalent) to verify the details of your transactions and/or holdings.

How to account for Free Receipts, Free Deliveries, and Transfers

Free receipt, free delivery, and transfer transactions are not eligible for payment unless you have additional information regarding the original purchase, acquisition, or subsequent sale. If you do not have this information, free receipt, free delivery, and transfer transactions should only be included on your electronic file for balancing purposes. You **MUST** use the following instructions when filing for accounts that have free receipt, free delivery, and transfer transactions during the Class Period:

If shares were transferred to or from a different custodian (corresponding account is not on your electronic file), you must follow these instructions:



- **SHARES RECEIVED INTO ACCOUNT:** You must account for any received shares by providing the opening position or original purchase or acquisition of these shares from the prior account.
- **SHARES DELIVERED OUT OF ACCOUNT:** You must account for any delivered shares by providing the sale and/or closing position from the subsequent account.
- **NO INFORMATION AVAILABLE ON ORIGINAL PURCHASE/ACQUISITION or SUBSEQUENT SALE:** You must provide the free receipt and/or free delivery shares in order to balance your claim. A “transfer in” should be reflected as transaction type “FR,” and a “transfer out” should be reflected as transaction type “FD” (prices and net amounts should be zero).

If shares were transferred between accounts on your file, you must follow these instructions:

- **DO NOT** claim the same purchase or acquisition transaction(s) on both accounts.
- The account that owned the shares most recently should be the account claiming the eligible purchase or acquisition. These shares should already be accounted for in the sales and/or closing position of the most recent account.
- If shares were transferred between accounts for the same beneficial owner on your file, you must combine these accounts and file all eligible purchases, acquisitions, and sales in a single claim. You must also include all opening and closing positions on the claim for that entity.
- If you are unable to combine these accounts accordingly, you must provide the free receipt and free delivery shares in order to balance your claim. A “transfer in” should be reflected as transaction type “FR,” and a “transfer out” should be reflected as transaction type “FD” (prices and net amounts should be zero).

Claim Balancing

All securities for each Claim must balance. This means all opening position shares plus purchases / acquisitions (including free receipts) from April 29, 2015 through and including February 12, 2019, **MUST EQUAL** the total sales (including free deliveries) from April 29, 2015 through and including February 12, 2019, plus the closing position. **(O+P+FR=S+FD+C).**

Negative values may only be given for short opening or closing positions. All other transactions must be positive values.

Appendix A

Case Specific Information

CLASS DEFINITION:	All persons and entities who or which purchased or otherwise acquired PG&E Securities during the Class Period and that Plaintiffs alleged were damaged thereby, including but not limited to those who purchased in or traceable to the following Note Offerings: (i) April 2018 Offering, 3.95% Notes due 12/1/2047(CUSIP694308HY6); (ii) April 2018 Offering, 3.3% Notes due 12/1/2027 (CUSIP 694308HW0); (iii) December 2016 and March 2017 Offerings, 4% Notes due 12/1/2046 (CUSIP 694308HR1); (iv) March 2017 Offering, 3.3% Notes due 3/15/2027 (CUSIP 694308HS9); and (v) March 2016 Offering, 2.95% Notes due 3/1/2026 (CUSIP 694308HP5).
CLAIM FILING DEADLINE:	July 6, 2026

The following is a list of eligible Securities:

PG&E Equity Securities

Issuer of Securities	Securities Description	CUSIP Number	ISIN Number	POA Type
PG&E Corp.	Common Stock (including any contract options related thereto)	69331C108	US69331C1080	Exchange Act
Pacific Gas and Electric Co.	Preferred 4.36 PERP/CALL	694308883	US6943088830	Exchange Act
Pacific Gas and Electric Co.	Preferred 4.5 PERP/CALL	694308800	US6943088004	Exchange Act
Pacific Gas and Electric Co.	Preferred 4.8 PERP/CALL	694308701	US6943087014	Exchange Act
Pacific Gas and Electric Co.	Preferred 5 PERP/CALL	694308602	US6943086024	Exchange Act
Pacific Gas and Electric Co.	Preferred 5 PERP/CALL	694308503	US6943085034	Exchange Act
Pacific Gas and Electric Co.	Preferred 5 PERPETUAL	694308404	US6943084045	Exchange Act
Pacific Gas and Electric Co.	Preferred 5.5 PERPETUAL	694308305	US6943083054	Exchange Act
Pacific Gas and Electric Co.	Preferred 6% Dividend PERPETUAL	694308206	US6943082064	Exchange Act



PG&E Debt Securities

Issuer of Securities	Securities Description	CUSIP Number	ISIN Number	POA Type
Pacific Gas and Electric Co.	0.45835% due 5/11/2015	694308HJ9	US694308HJ92	Alternative
Pacific Gas and Electric Co.	1.51778% due 11/30/2017	694308HQ3	US694308HQ36	Securities Act
Pacific Gas and Electric Co.	2.45% due 8/15/2022	694308HB6	US694308HB66	Exchange Act
Pacific Gas and Electric Co.	2.54138% due 11/28/2018	694308HU4	US694308HU48	Securities Act
Pacific Gas and Electric Co.	2.95% due 3/1/2026	694308HP5	US694308HP52	Securities Act
Pacific Gas and Electric Co.	3.25% due 6/15/2023	694308HC4	US694308HC40	Exchange Act
Pacific Gas and Electric Co.	3.25% due 9/15/2021	694308GW1	US694308GW13	Exchange Act
Pacific Gas and Electric Co.	3.3% due 12/1/2027	694308HW0	US694308HW04	Securities Act
Pacific Gas and Electric Co.	3.3% due 3/15/2027	694308HS9	US694308HS91	Securities Act
Pacific Gas and Electric Co.	3.4% due 8/15/2024	694308HK6	US694308HK65	Exchange Act
Pacific Gas and Electric Co.	3.5% due 10/1/2020	694308GT8	US694308GT83	Exchange Act
Pacific Gas and Electric Co.	3.5% due 6/15/2025	694308HM2	US694308HM22	Exchange Act
Pacific Gas and Electric Co.	3.75% due 2/15/2024	694308HG5	US694308HG53	Exchange Act
Pacific Gas and Electric Co.	3.75% due 8/15/2042	694308HA8	US694308HA83	Exchange Act
Pacific Gas and Electric Co.	3.85% due 11/15/2023	694308HE0	US694308HE06	Exchange Act
Pacific Gas and Electric Co.	3.95% due 12/1/2047	694308HY6	US694308HY69	Securities Act
Pacific Gas and Electric Co.	4% due 12/1/2046	694308HR1	US694308HR19	Securities Act
Pacific Gas and Electric Co.	4.25% due 3/15/2046	694308HN0	US694308HN05	Exchange Act
Pacific Gas and Electric Co.	4.25% due 5/15/2021	694308GV3	US694308GV30	Exchange Act
Pacific Gas and Electric Co.	4.3% due 3/15/2045	694308HL4	US694308HL49	Exchange Act
Pacific Gas and Electric Co.	4.45% due 4/15/2042	694308GZ4	US694308GZ44	Exchange Act

Issuer of Securities	Securities Description	CUSIP Number	ISIN Number	POA Type
Pacific Gas and Electric Co.	4.5% due 12/15/2041	694308GY7	US694308GY78	Exchange Act
Pacific Gas and Electric Co.	4.6% due 6/15/2043	694308HD2	US694308HD23	Exchange Act
Pacific Gas and Electric Co.	4.75% due 2/15/2044	694308HH3	US694308HH37	Exchange Act
Pacific Gas and Electric Co.	5.125% due 11/15/2043	694308HF7	US694308HF70	Exchange Act
Pacific Gas and Electric Co.	5.4% due 1/15/2040	694308GS0	US694308GS01	Exchange Act
Pacific Gas and Electric Co.	5.625% due 11/30/2017	694308GL5	US694308GL57	Alternative
Pacific Gas and Electric Co.	5.8% due 3/1/2037	694308GJ0	US694308GJ02	Exchange Act
Pacific Gas and Electric Co.	5.8% due 3/1/2037	694308GK7	US694308GK74	Alternative
Pacific Gas and Electric Co.	6.05% due 3/1/2034	694308GE1	US694308GE15	Exchange Act
Pacific Gas and Electric Co.	6.05% due 3/1/2034	694308GH4	US694308GH46	Alternative
Pacific Gas and Electric Co.	6.25% due 3/1/2039	694308GQ4	US694308GQ45	Exchange Act
Pacific Gas and Electric Co.	6.35% due 2/15/2038	694308GM3	US694308GM31	Exchange Act
Pacific Gas and Electric Co.	6.75% due 10/1/2023	694308EY9	US694308EY96	Alternative
Pacific Gas and Electric Co.	6.75% due 10/1/2023	694308EZ6	US694308EZ61	Alternative
Pacific Gas and Electric Co.	7.05% due 3/1/2024	694308FB8	US694308FB84	Alternative
Pacific Gas and Electric Co.	7.05% due 3/1/2024	694308FP7	US694308FP70	Alternative
Pacific Gas and Electric Co.	7.25% due 3/1/2026	694308EM5	US694308EM58	Alternative
Pacific Gas and Electric Co.	7.25% due 3/1/2026	694308ET0	US694308ET02	Alternative
Pacific Gas and Electric Co.	7.25% due 3/1/2026	694308FQ5	US694308FQ53	Alternative
Pacific Gas and Electric Co.	7.25% due 3/1/2026	694308FY8	US694308FY87	Alternative
Pacific Gas and Electric Co.	7.25% due 8/1/2026	694308EV5	US694308EV57	Alternative
Pacific Gas and Electric Co.	7.25% due 8/1/2026	694308FF9	US694308FF98	Alternative
Pacific Gas and Electric Co.	7.25% due 8/1/2026	694308EX1	US694308EX14	Alternative



Issuer of Securities	Securities Description	CUSIP Number	ISIN Number	POA Type
Pacific Gas and Electric Co.	7.25% due 8/1/2026	694308FR3	US694308FR37	Alternative
Pacific Gas and Electric Co.	7.25% due 8/1/2026	694308FZ5	US694308FZ52	Alternative
Pacific Gas and Electric Co.	8% due 10/1/2025	694308EP8	US694308EP89	Alternative
Pacific Gas and Electric Co.	8% due 10/1/2025	694308EL7	US694308EL75	Alternative
Pacific Gas and Electric Co.	8% due 10/1/2025	694308FM4	US694308FM40	Alternative
Pacific Gas and Electric Co.	8% due 10/1/2025	694308FG7	US694308FG71	Alternative
Pacific Gas and Electric Co.	8% due 10/1/2025	694308EK9	US694308EK92	Alternative
Pacific Gas and Electric Co.	8.25% due 10/15/2018	694308GN1	US694308GN14	Alternative
Pacific Gas and Electric Co.	8.25% due 11/1/2022	694308EQ6	US694308EQ62	Alternative
Pacific Gas and Electric Co.	8.25% due 11/1/2022	694308EG8	US694308EG80	Alternative
Pacific Gas and Electric Co.	8.25% due 11/1/2022	694308EN3	US694308EN32	Alternative
Pacific Gas and Electric Co.	8.25% due 11/1/2022	694308FJ1	US694308FJ11	Alternative
Pacific Gas and Electric Co.	8.25% due 11/1/2022	694308FW2	US694308FW22	Alternative
Pacific Gas and Electric Co.	8.375% due 5/1/2025	694308EF0	US694308EF08	Alternative
Pacific Gas and Electric Co.	8.375% due 5/1/2025	694308EJ2	US694308EJ20	Alternative
Pacific Gas and Electric Co.	8.375% due 5/1/2025	694308FX0	US694308FX05	Alternative
Pacific Gas and Electric Co.	8.8% due 5/1/2024	694308DV6	US694308DV66	Alternative
PG&E Corp	2.4% due 3/1/2019	69331CAF5	69331CAF5	Alternative
CA DEV VAR-A-PACIFIC	Municipal Bond ADJ% due 11/1/2026	13033WG31		Alternative
CA DEV VAR-B-PACIFIC	Municipal Bond ADJ% due 11/1/2026	13033WG49		Alternative
CA DEV VAR-C-PACIFIC	Municipal Bond due 12/1/2016	13033WG56		Alternative



Issuer of Securities	Securities Description	CUSIP Number	ISIN Number	POA Type
CA ECON-VAR-RF-3/14	Municipal Bond due 12/1/2018	13033WG23		Alternative
CA ECON-VAR-RF-D-3/11	Municipal Bond due 12/1/2016	13033WF73		Alternative
CA ECON-VAR-RF-E-3/11	Municipal Bond ADJ% due 11/1/2026	13033WF81		Alternative
CA ECON-VAR-RF-F-3/12	Municipal Bond ADJ% due 11/1/2026	13033WF99		Alternative
CA INFRA ECON DEV-F	Municipal Bond 1.75% due 11/1/2026	13034ASX9	US13034ASX99	Alternative
CA INFRA REF-GAS-F	Municipal Bond 3.75% due 11/1/2026	13033WU84		Alternative
CA INFRA VAR-A-PACIFI	Municipal Bond ADJ% due 11/1/2026	13033WRZ8		Alternative
CA INFRA VAR-B-PACIFI	Municipal Bond ADJ% due 11/1/2026	13033WSA2		Alternative
CA INFRA VAR-C-PACIFI	Municipal Bond due 12/1/2016	13033WSB0		Alternative
CA INFRA VAR-D-PACIFI	Municipal Bond due 12/1/2016	13033WSC8		Alternative
CA INFRA VAR-E-PACIFI	Municipal Bond due 12/1/2016	13033WSD6		Alternative
CA INFRA VAR-F-PACIFI	Municipal Bond ADJ% due 11/1/2026	13033WSE4		Alternative
CA INFRA VAR-GAS-PACIFI	Municipal Bond due 12/1/2018	13033WU92		Alternative
CA INFRA VAR-G-PACIFI	Municipal Bond due 12/1/2018	13033WSF1		Alternative
CA INFRA VAR-PACIFIC	Municipal Bond ADJ% due 11/1/2026	13033WW33		Alternative



Issuer of Securities	Securities Description	CUSIP Number	ISIN Number	POA Type
CA INFRA VAR-PACIFIC	Municipal Bond due 12/1/2016	13033WW41		Alternative
CA INFRA VAR-PACIFIC	Municipal Bond due 12/1/2016	13033WW58		Alternative
CA INFRA VAR-REF-PACI	Municipal Bond ADJ% due 11/1/2026	13033WW25		Alternative
CA INFRA-RF-C-PACIFIC	Municipal Bond due 12/1/2016	13033W3G6		Alternative
CA INFRA-RF-D-PACIFIC	Municipal Bond due 12/1/2016	13033W3K7		Alternative
CA INFRA-RF-E-PACIFIC	Municipal Bond 2.25% due 11/1/2026	13033W3Z4		Alternative
CA INFRA-RF-VAR-A-PAC	Municipal Bond 3.75% due 11/1/2026	13033W3H4	US13033W3H41	Alternative
CA INFR-VR-RF-B-PACIF	Municipal Bond 3.75% due 11/1/2026	13033W3J0	US13033W3J07	Alternative
CA PCR DLY PAPER-PACI	Municipal Bond 4% due 11/1/2026	130534XA3	US130534XA35	Alternative
CA PCR DLY-PAC-E-CONV	Municipal Bond 3.5% due 11/1/2026	130534XX3	US130534XX38	Alternative
CA PCR DLY-REF-F-PACI	Municipal Bond 3.25% due 11/1/2026	130534XD7	US130534XD73	Alternative
CA PCR DLY-REF-G-PACI	Municipal Bond ADJ% due 2/1/2016	130534XE5		Alternative
CA PCR VAR CAPCO MADR	Municipal Bond ADJ% due 9/1/2019	130535BA4	US130535BA48	Alternative
CA PCR VAR-REF-B-PACI	Municipal Bond 3.5% due 11/1/2026	130534XL9	US130534XL99	Alternative
CA PCR-REF-A-PAC	Municipal Bond 5.35% due 12/1/2016	130534WY2		Alternative



Issuer of Securities	Securities Description	CUSIP Number	ISIN Number	POA Type
CA POLLT-PAC GAS-REMK	Municipal Bond 4.75% due 12/1/2023	130534A83		Alternative
CA POLLT-PAC GAS-REMK	Municipal Bond 4.75% due 12/1/2023	130534B66		Alternative
CA POLLT-PAC GAS-REMK	Municipal Bond 4.75% due 12/1/2023	130534A91		Alternative
CA POLLUTN-REF-A-PACI	Municipal Bond 3.5% due 12/1/2023	130534ZP8		Alternative
CA POLLUTN-REF-B-PACI	Municipal Bond 3.5% due 12/1/2023	130534ZQ6		Alternative
CA POLLUTN-REF-C-PACI	Municipal Bond 3.5% due 12/1/2023	130534ZR4	US130534ZR42	Alternative
CA POLLUTN-REF-D-PACI	Municipal Bond 3.5% due 12/1/2023	130534ZS2		Alternative
CA POOLT-PAC GAS-REMK	Municipal Bond 4.75% due 12/1/2023	130534B25		Alternative
CA POOLT-PCS GAS REMK	Municipal Bond 4.75% due 12/1/2023	130534B33		Alternative
CALIFORNIA ST INFRAS	Municipal Bond 1.75% due 11/1/2026	13034ASZ4	US13034ASZ48	Alternative
NEVADA IRR YUBA PAC	Municipal Bond 3.75% due 7/1/2013	641321BT0		Alternative
SOLANO IRR DIST DIV 1	Municipal Bond 9.15% due 1/1/2020	834125AN6	US834125AN62	Alternative
SOLANO IRR DIST DIV 2	Municipal Bond 9.25% due 1/1/2020	834125AM8	US834125AM89	Alternative
SOLANO IRR REF-MONTIC	Municipal Bond 5.47% due 1/1/2020	834125BC9	US834125BC98	Alternative
SOLANO IRR-REF-MONTIC	Municipal Bond 5.29% due 1/1/2016	834125AY2		Alternative



Issuer of Securities	Securities Description	CUSIP Number	ISIN Number	POA Type
SOLANO IRR-UNREF-#2	Municipal Bond 9.15% due 1/1/2020	834125BF2		Alternative
SOLANO IRR-UNREF-#2	Municipal Bond 9.25% due 1/1/2020	834125BG0	US834125BG03	Alternative
California Infrastructure & Economic Development Bank	1.05% due 12/1/2018	13034ASY7	13034ASY7	Alternative
Pacific Gas and Electric Co.	2.54138% due 11/28/2018	694308HT7	US694308HT74	Alternative
Pacific Gas and Electric Co.	2.54138% due 11/28/2018	AQ2179697	USU69430AD52	Alternative
Pacific Gas and Electric Co.	3.3% due 12/1/2027	AQ2179622	USU69430AE36	Alternative
Pacific Gas and Electric Co.	3.3% due 12/1/2027	694308HV2	US694308HV21	Alternative
Pacific Gas and Electric Co.	3.95% due 12/1/2047	694308HX8	US694308HX86	Alternative
Pacific Gas and Electric Co.	3.95% due 12/1/2047	AQ2179606	USU69430AF01	Alternative
Pacific Gas and Electric Co.	4.25% due 8/1/2023	694308JB4 ¹	US694308JB49	Exchange Act
Pacific Gas and Electric Co.	4.25% due 8/1/2023	AT8919728	USU69430AG83	Alternative
Pacific Gas and Electric Co.	4.65% due 8/1/2028	694308JC2 ²	US694308JC22	Exchange Act
Pacific Gas and Electric Co.	4.65% due 8/1/2028	AT8919744	USU69430AH66	Alternative

¹ This note was converted from 694308HZ3.

² This note was converted from 694308JA6.

Common Stock/ Preferred Stock transactions for each account should include:

Transaction Type	Definition	Valid Dates
O	Total shares owned at opening of trading at beginning of Class Period.	04/29/2015
P	Purchases/acquisitions during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 02/12/2019
FR	Transfer into account during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 02/12/2019
S	Sales during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 02/12/2019
FD	Transfer out of account during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 02/12/2019
C	Total shares owned at close of trading.	02/12/2019

*****Please see Appendix E for mapping instructions detailing how to properly submit your file. Files submitted that do not follow the mapping instructions are subject to rejection.**



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Options on PG&E Common Stock transactions for each account should include:

Transaction Type	Definition	Valid Dates
O	Total number of Call and/or Put option contracts held as of the opening of trading at the beginning of the class period.	04/29/2015
P	Purchases/acquisitions of Call and/or Put option contracts Class Period through the 90-day Look-Back Period.	04/29/2015 – 11/15/2018
FR	Transfer of Call and/or Put option contracts into account during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 11/15/2018
S	Sales of Call and/or Put option contracts during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 11/15/2018
FD	Transfer of Call and/or Put option contracts out of account during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 11/15/2018
C	Total number of Call and/or Put option contracts held as of the close of trading.	11/15/2018

*****Please see Appendix E for mapping instructions detailing how to properly submit your file. Files submitted that do not follow the mapping instructions are subject to rejection.**



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Securities Act PG&E Notes³ transactions for each account should include:

Transaction Type	Definition	Valid Dates
O	Total shares owned at opening of trading at beginning of the Relevant Period.	04/29/2015
P	Purchases/acquisitions during the Relevant Period.	04/29/2015 – 06/30/2020
FR	Transfer into account during the Relevant Period.	04/29/2015 – 06/30/2020
S	Sales during the Relevant Period.	04/29/2015– 06/30/2020
FD	Transfer out of account during the Relevant Period.	04/29/2015 – 06/30/2020
C	Total shares owned at close of trading.	07/01/2020

*****Please see Appendix E for mapping instructions detailing how to properly submit your file. Files submitted that do not follow the mapping instructions are subject to rejection.**

³ The Securities Act Notes are: (i) CUSIP 694308HP5, 2.95% Notes due March 1, 2026; (ii) CUSIP 694308HQ3, 1.51778% Notes due November 30, 2017; (iii) CUSIP 694308HR1, 4.00% Notes due December 1, 2046; (iv) CUSIP 694308HS9, 3.3% Notes due March 15, 2027; (v) CUSIP 694308HU4, 2.54138% Notes due November 28, 2018; (vi) CUSIP 694308HW0, 3.3% Notes due December 1, 2027; and (vii) CUSIP 694308HY6, 3.95% Notes due December 1, 2047



Exchange Act PG&E Notes transactions for each account should include:

Transaction Type	Definition	Valid Dates
O	Total shares owned at opening of trading at beginning of Class Period.	04/29/2015
P	Purchases/acquisitions during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 02/12/2019
FR	Transfer into account during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 02/12/2019
S	Sales during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 02/12/2019
FD	Transfer out of account during the Class Period through the 90-day Look-Back Period.	04/29/2015 – 02/12/2019
C	Total shares owned at close of trading.	02/12/2019

*****Please see Appendix E for mapping instructions detailing how to properly submit your file. Files submitted that do not follow the mapping instructions are subject to rejection.**



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Appendix B



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**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION**

IN RE PG&E CORPORATION SECURITIES
LITIGATION

Civil Action No. 5:18-cv-03509-EJD

**CLASS SETTLEMENT PROOF OF
CLAIM AND RELEASE**

A. GENERAL INSTRUCTIONS

1. To recover as a Settlement Class Member in connection with the proposed Settlement reached in *In re PG&E Corp. Securities Litigation*, Civil Action No. Case No. 5:18-cv-03509 (the “Class Action”), you must complete and, on page 10 below, sign this Class Settlement Proof of Claim and Release form (“Class Settlement Claim Form” or “Claim Form”). If you fail to submit a timely and properly addressed (as set forth in paragraph 3 below) Class Settlement Claim Form, your claim may be rejected and you may not receive any recovery from the Settlement.

2. Submission of this Claim Form, however, does not ensure that you will share in the proceeds of the Settlement.

**3. THIS CLAIM FORM MUST BE SUBMITTED ONLINE AT
WWW.PGECORPORATIONSECURITIESLITIGATION.COM NO LATER THAN JULY 6, 2026, OR, IF
MAILED, BE POSTMARKED NO LATER THAN JULY 6, 2026, ADDRESSED AS FOLLOWS:**

PG&E Corp. Sec. Litig.
c/o A.B. Data, Ltd.
P.O. Box 173069
Milwaukee, WI 53217
(866) 302-5617

www.PGECorporationSecuritiesLitigation.com

If you are NOT a member of the Settlement Class (as defined in the Notice of Pendency of Class Action, Proposed Settlement, and Motion for Attorneys’ Fees and Expenses (“Notice”), available at www.PGECorporationSecuritiesLitigation.com, DO NOT submit a Claim Form.

4. If you are a member of the Settlement Class, and you have not timely requested exclusion in response to the Postcard Notice, you are bound by the terms of any judgment entered in the Class Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A CLAIM FORM OR RECEIVE A PAYMENT.

B. CLAIMANT IDENTIFICATION

5. If you, or someone you represent, purchased or otherwise acquired PG&E Securities during the period from April 29, 2015 through November 15, 2018, both dates inclusive (the “Class Period”) and that Plaintiffs alleged were damaged thereby, including but not limited to the following Note Offerings: (i) April 2018 Offering, 3.95% Notes due 12/1/2047 (CUSIP 694308HY6); (ii) April 2018 Offering, 3.3% Notes due 12/1/2027 (CUSIP 694308HW0); (iii) December 2016 and March 2017 Offerings, 4% Notes due 12/1/2046 (CUSIP 694308HR1); (iv) March 2017 Offering, 3.3% Notes due 3/15/2027 (CUSIP 694308HS9); and (v) March 2016 Offering, 2.95% Notes due 3/1/2026 (CUSIP 694308HP5),¹ use Part I of this form entitled “Claimant Identification” to list the claimant name, mailing address, and account information, if relevant (such as for a claim submitted on behalf of an IRA, Trust, or estate account). **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL PURCHASER(S) OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S).** Please list the most current claimant or account name, as you would like the information to appear on a check, if eligible for payment. Please also provide a telephone number and/or email address, as the Claims Administrator may need to contact you with questions about the claim submitted. **If your Claimant Identification information changes, please notify the Claims Administrator in writing at the address above.**

6. All joint purchasers must sign this form. If you are acting in a representative capacity on behalf of a Settlement Class Member (for example, as an executor, administrator, trustee, or other representative), you must submit evidence of your

¹ A list of additional eligible PG&E Securities is available at www.PGECorporationSecuritiesLitigation.com.

current authority to act on behalf of that Settlement Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents or other documents which provide you with the authority to submit the claim. Please also indicate your representative capacity under your signature on page 10 of this Claim Form.

C. IDENTIFICATION OF TRANSACTIONS

7. Use Part II of this form entitled “Schedule of Transactions in PG&E Equity Securities” and Part III entitled “Schedule of Transactions in PG&E Debt Securities” to supply all required details of your transaction(s) in PG&E Securities, **even if you previously submitted a Rescission or Damage Claim in PG&E’s or the Utility’s Chapter 11 Cases before the Bankruptcy Court.** If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

8. On the schedules, provide all of the requested information with respect to ***all of your*** purchases, acquisitions, and sales of PG&E Securities during the time periods requested, whether such transactions resulted in a profit or a loss. You must also provide the amount of your holdings at the close of trading on certain dates. Failure to report all such transactions may result in the rejection of your claim.

9. List each transaction separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

10. The date of covering a “short sale” is deemed to be the date of purchase of PG&E common stock. The date of a “short sale” is deemed to be the date of sale of PG&E common stock.

11. Claims must be accompanied by adequate supporting documentation for the transactions reported in the form of broker confirmation slips, broker account statements, an authorized statement from the broker containing the transactional information found in a broker confirmation slip, or such other documentation as is deemed adequate by the Claims Administrator and/or Lead Counsel. Failure to provide sufficient documentation could delay verification of your claim or result in rejection of your claim. Claimants bear the burden of establishing their right to a recovery from the Net Settlement Fund.

12. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be required, to submit information regarding their transactions in electronic files. (This is different than submitting a claim using the Settlement website.) All such claimants **MUST** also submit a manually signed paper Claim Form. If you wish to file your claim electronically, you must contact the Claims Administrator at (866) 302-5617 to obtain the required file layout or download an Excel template at www.PGECorporationSecuritiesLitigation.com. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email to that effect with your claim number after processing your file and respective account information. Do not assume that your file has been received or processed until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact info@PGECorporationSecuritiesLitigation.com to inquire about your file and confirm it was received and acceptable.

PART I – CLAIMANT IDENTIFICATION

The Claims Administrator will use the following information for all communications regarding this Claim Form. If this information changes, you **MUST** notify the Claims Administrator in writing at the address above. Complete names of all persons and entities must be provided.

Beneficial Owner's Name

[illegible]

Joint Beneficial Owner's Name (if applicable)

[illegible]

If this claim is submitted for an IRA, and if you would like any check that you might be eligible to receive made payable to the IRA, please include “IRA” in the “Last Name” box above (*e.g.*, Jones IRA)

Entity Name (if the Beneficial Owner is not an individual)

[illegible]

Account Number

[illegible][illegible]

Did you file a Rescission or Damage Claim
in Chapter 11 Cases? (Y/N)

[illegible]

Street Address

[illegible]

City

--	--	--	--	--	--	--	--

Foreign Country (if applicable)

[illegible]

Telephone Number (Day)

[illegible]

Email address (email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim):

[illegible]

Claimant Account Type (check appropriate box):

	Estate
	Other (describe: _____)

PART II: SCHEDULE OF TRANSACTIONS IN PG&E EQUITY SECURITIES

PG&E PUBLICLY TRADED COMMON STOCK

1. BEGINNING HOLDINGS – State the total number of shares of PG&E publicly traded common stock held at the opening of trading on April 29, 2015. If none, write “0” or “Zero.” (Must submit documentation.)

2. PURCHASES/ACQUISITIONS DURING THE CLASS PERIOD – Separately list each and every purchase of PG&E publicly traded common stock from April 29, 2015 through and including November 15, 2018. (Must submit documentation.)

Date of Purchase/Acquisition (List Chronologically) (MM/DD/YY)	Number of Shares Purchased	Purchase Price Per Share	Total Purchase Price (excluding taxes, commissions, and fees)
		\$	\$
		\$	\$
		\$	\$
		\$	\$

3. PURCHASES/ACQUISITIONS DURING THE 90-DAY LOOK-BACK PERIOD – State the total number of shares of PG&E publicly traded common stock purchased from November 16, 2018 through and including February 12, 2019.² (Must submit documentation.)

4. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOK-BACK PERIOD – Separately list each and every sale of PG&E publicly traded common stock from April 29, 2015 through and including the close of trading on February 12, 2019. (Must submit documentation.)

Date of Sale (List Chronologically) (MM/DD/YY)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)
		\$	\$
		\$	\$
		\$	\$
		\$	\$

5. ENDING HOLDINGS – State the total number of shares of PG&E publicly traded common stock held as of the close of trading on February 12, 2019. If none, write “0” or “Zero.” (Must submit documentation.)

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX.** ☐

² Information requested in this Claim Form with respect to your purchases on November 16, 2018 through and including the close of trading on February 12, 2019, is needed only in order for the Claims Administrator to confirm that you have reported all relevant transactions. Purchases during this period are not eligible for a recovery because these purchases are outside the Class Period.

PG&E PREFERRED STOCK

1. BEGINNING HOLDINGS - State the total number of shares of PG&E preferred stock held at the opening of trading on April 29, 2015. If none, write "0" or "Zero." (Must submit documentation.)

CUSIP	Shares Held
694308883	
694308800	
694308701	
694308602	
694308503	
694308404	
694308305	
694308206	

2. PURCHASES/ACQUISITIONS DURING THE CLASS PERIOD – Separately list each and every purchase of PG&E preferred stock from April 29, 2015 through and including November 15, 2018. (Must submit documentation.)

Date of Purchase (List Chronologically) (MM/DD/YY)	CUSIP	Number of Shares Purchased	Purchase Price Per Share	Total Purchase Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$
			\$	\$

3. PURCHASES/ACQUISITIONS DURING 90-DAY LOOKBACK PERIOD – State the total number of shares of PG&E preferred stock purchased from November 16, 2018 through and including February 12, 2019.³ (Must submit documentation.)

CUSIP	Shares Purchased
694308883	
694308800	
694308701	
694308602	
694308503	
694308404	
694308305	
694308206	

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX. ☐**

³ Information requested in this Claim Form with respect to your purchases on November 16, 2018 through and including the close of trading on February 12, 2019, is needed only in order for the Claims Administrator to confirm that you have reported all relevant transactions. Purchases during this period are not eligible for a recovery because these purchases are outside the Class Period.

4. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD – Separately list each and every sale of PG&E preferred stock from April 29, 2015 through and including the close of trading on February 12, 2019. (Must submit documentation.)

Date of Sale (List Chronologically) (MM/DD/YY)	CUSIP	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$
			\$	\$

5. ENDING HOLDINGS – State the total number of shares of PG&E preferred stock held as of the close of trading on February 12, 2019. If none, write “0” or “Zero.” (Must submit documentation.)

CUSIP	Shares Held
694308883	
694308800	
694308701	
694308602	
694308503	
694308404	
694308305	
694308206	

EXCHANGE-TRADED OPTIONS ON PG&E COMMON STOCK

Purchases/Repurchases

1. BEGINNING HOLDINGS – State the total number of exchange-traded call or put options on shares of PG&E publicly traded common stock held as of the opening of trading on April 29, 2015. If none, write “0” or “Zero.” (Must submit documentation.)

Option Type (Call or Put)	Strike Price of Option Contract	Expiration Date of Option Contract (Month/Day/Year)	Number of Option Contracts in Which You Had an Open Interest
	\$		
	\$		
	\$		
	\$		

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX. ☐**

2. PURCHASES/ACQUISITIONS – I made the following purchases/repurchases of exchange-traded options on PG&E common stock during the period from April 29, 2015 through and including November 15, 2018:

Option Type (Call or Put)	Date of Transaction (List Chronologically) Month/Day/Year)	Number of Option Contracts Acquired	Expiry Date (Month/Year)	Strike Price	Transaction Price Per Option Contract	Total Purchase Price (excluding taxes, commissions, and fees)	Insert an “E” if Exercised. Insert an “X” if Expired.	Exercise Date (Month/Day/Year)
				\$	\$	\$		
				\$	\$	\$		
				\$	\$	\$		
				\$	\$	\$		

3. SALES – I sold/wrote the following exchange-traded put options on PG&E common stock during the period from April 29, 2015 through and including November 15, 2018, inclusive.

Option Type (Call or Put)	Date of Transaction (List Chronologically) Month/Day/Year)	Number of Option Contracts Sold	Expiry Date (Month/Year)	Strike Price	Transaction Price Per Option Contract	Total Sale Price (excluding taxes, commissions, and fees)	Insert an “A” if Assigned. Insert an “X” if Expired.	Assignment Date (Month/Day/Year)
				\$	\$	\$		
				\$	\$	\$		
				\$	\$	\$		
				\$	\$	\$		

4. ENDING HOLDINGS – State the total number of exchange-traded call or put options on shares of PG&E publicly traded common stock held as of the close of trading on November 15, 2018. If none, write “0” or “Zero.” (Must submit documentation.)

Option Type (Call or Put)	Strike Price of Option Contract	Expiration Date of Option Contract (Month/Day/Year)	Number of Option Contracts in Which You Had an Open Interest
	\$		
	\$		
	\$		
	\$		
	\$		
	\$		

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX. ☐

PART III: SCHEDULE OF TRANSACTIONS IN PG&E DEBT SECURITIES

EXCHANGE ACT PG&E NOTES⁴

1. BEGINNING HOLDINGS - State the total number of PG&E Exchange Act Notes held at the opening of trading on April 29, 2015. If none, write "0" or "Zero." (Must submit documentation.)

CUSIP	Amount of Note Held

2. PURCHASES/ACQUISITIONS DURING THE CLASS PERIOD – Separately list each and every purchase of PG&E Exchange Act Notes from April 29, 2015 through and including November 15, 2018. (Must submit documentation.)

Date of Purchase (List Chronologically) (MM/DD/YY)	CUSIP	Number of Notes Purchased	Purchase Price Per Note	Total Purchase Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX. ☐**

⁴ A list of the PG&E Exchange Act Notes is available at www.PGECorporationSecuritiesLitigation.com.

3. PURCHASES/ACQUISITIONS DURING 90-DAY LOOKBACK PERIOD – State the total number of PG&E Exchange Act Notes purchased from November 16, 2018 through and including February 12, 2019.⁵ (Must submit documentation.)

CUSIP	Amount of Note Purchased

4. SALES DURING THE CLASS PERIOD AND DURING THE 90-DAY LOOKBACK PERIOD – Separately list each and every sale of PG&E Exchange Act Notes from April 29, 2015 through and including the close of trading on February 12, 2019. (Must submit documentation.)

Date of Sale (List Chronologically) (MM/DD/YY)	CUSIP	Number of Notes Sold	Sale Price Per Note	Total Sale Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$

5. ENDING HOLDINGS – State the total number of PG&E Exchange Act Notes held as of the close of trading on February 12, 2019. If none, write “0” or “Zero.” (Must submit documentation.)

CUSIP	Amount of Note Held

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX.** ☐

⁵ Information requested in this Claim Form with respect to your purchases on November 16, 2018 through and including the close of trading on February 12, 2019, is needed only in order for the Claims Administrator to confirm that you have reported all relevant transactions. Purchases during this period are not eligible for a recovery because these purchases are outside the Class Period.

SECURITIES ACT PG&E NOTES⁶

1. BEGINNING HOLDINGS – State the total number of PG&E Securities Act Notes held as of the opening of trading on April 29, 2015. If none, write “0” or “Zero.” (Must submit documentation.)

CUSIP	Amount of Note Held

2. PURCHASES/ACQUISITIONS OF SECURITIES ACT NOTES – Separately list each and every purchase of PG&E Securities Act Notes from the Note Issue Date (Table 9 of POA) through and including June 30, 2020. (Must submit documentation.)

Date of Purchase (List Chronologically) (MM/DD/YY)	CUSIP	Number of Notes Purchased	Purchase Price Per Note	Total Purchase Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$
			\$	\$

3. SALES OF SECURITIES ACT NOTES – Separately list each and every sale of PG&E Securities Act Notes from purchase date through and including the close of trading on June 30, 2020. (Must submit documentation.)

Date of Sale (List Chronologically) (MM/DD/YY)	CUSIP	Number of Notes Sold	Sale Price Per Note	Total Sale Price (excluding taxes, commissions, and fees)
			\$	\$
			\$	\$
			\$	\$
			\$	\$

**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, YOU MUST
PHOTOCOPY THIS PAGE AND CHECK THIS BOX. ☐**

⁶ A list of the PG&E Securities Act Notes is available at www.PGECorporationSecuritiesLitigation.com.

4. ENDING HOLDINGS – State the total number of PG&E Securities Act Notes held as of the opening of trading on July 1, 2020. If none, write “0” or “Zero.” (Must submit documentation.)

CUSIP	Notes Held

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, ADD THE TRANSACTIONS, AND CHECK THIS BOX. ☐

D. SUBMISSION TO JURISDICTION OF DISTRICT COURT AND ACKNOWLEDGMENTS

13. I (We) submit this Claim Form under the terms of the Stipulation and Agreement of Settlement described in the Notice and available at www.PGECorporationSecuritiesLitigation.com. I (We) also submit to the jurisdiction of the United States District Court, Northern District of California, with respect to my (our) claim as a Settlement Class Member.

14. I (We) further acknowledge that, upon the Settlement Agreement Effective Date, I will (we will) be bound by and subject to the terms of any judgment that may be entered in the Class Action, including the release of the Released Plaintiff Claims as against the Released Defendant Parties. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim in connection with this Settlement covering the same trades of PG&E Securities during the relevant periods and know of no other person having done so on my (our) behalf. I (We) hereby warrant and represent that I (we) have included information about all of my (our) trading in PG&E Securities and holding positions during the requested time periods.

15. I (We) declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed this _____ day of _____, in _____, _____.
(Month / Year) (City) (State/Country)

Signature of Claimant

Signature of Joint Claimant, if any

Print Name of Claimant

Print Name of Joint Claimant, if any

(Capacity of person(s) signing, e.g., Beneficial Purchaser, Executor, or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

1. Please sign above.
2. Remember to attach copies of supporting documentation.
3. **Do not send** originals of certificates or other documentation as they will not be returned.
4. Keep a copy of your Claim Form and all supporting documentation for your records.
5. If you desire an acknowledgment of receipt of your Claim Form, please send it Certified Mail, Return Receipt Requested.
6. If you move, please send your new address to the address below.
7. **Do not use red pen or highlighter** on the Claim Form or supporting documentation.

**THIS CLAIM FORM MUST BE SUBMITTED ONLINE OR, IF MAILED, BE POSTMARKED NO LATER THAN
JULY 6, 2026, ADDRESSED AS FOLLOWS:**

PG&E Corp. Sec. Litig.
c/o A.B. Data, Ltd.
P.O. Box 173069
Milwaukee, WI 53217
www.PGECorporationSecuritiesLitigation.com

Appendix C

Authorization Requirements

If you are a nominee filing on behalf of your account holders, you must include the following documentation:

- Affidavit attesting that your entity has legal rights and authorization from your account holders to file Proofs of Claim and Release on their behalf **and** that your account holders understand that they are bound by and subject to the terms of all releases that may be entered in this Litigation etc.; and
- Authorization to sign on your account holders' behalf.

If you are a third party filing on behalf of a nominee, you must include an Affidavit attesting to the following:

- Your entity has the legal right and authorization from the nominee to file and sign any Proofs of Claim and Release on their behalf;
- Your entity has the legal right and authorization from the nominee's account holders to file and sign any Proofs of Claim and Release on their behalf; and
- The account holders understand they are bound by and subject to the terms of all releases that may be entered in the Action.

If you are a third party filing on behalf of another party, you must include an Affidavit attesting to the following:

- Your entity has the legal right and authorization from the other party to file and sign any Proofs of Claim and Release on its behalf; and
- The other party understands it is bound by and subject to the terms of all releases that may be entered in the Action.

If you are not a nominee or a third party and would like to file claims electronically, you must include documentation supporting all transactional data of your claim as follows:

- Documents may include, but are not limited to, a) photocopies of stockbrokers' confirmation slips; b) photocopies of stockbrokers' monthly statements reflecting ALL transactional data and how it was compiled for the opening of the Class Period through the end of the Class Period; or c) a signed letter from your broker, on their letterhead, providing all of the information that would be found on a confirmation slip and/or other aforementioned documents.



Appendix D
Sample Cover Letter

LETTERHEAD

Re: *PG&E Corp. Sec. Litig.*

Date:

Enclosed is a fully executed master Proof of Claim and Release with required authorizations and affidavits as well as an electronic media attachment, which is being filed in connection with the above-referenced matter on behalf of [COMPANY NAME(S) OR INDIVIDUAL NAME(S)] for the proprietary accounts of [ENTITY].

We, [ENTITY], hereby agree that further communication from the Claims Administrator may be conducted by email, and we accept sole responsibility to ensure the email address for [ENTITY] is updated in the event the email address provided on the master Proof of Claim and Release should change.

The attachment consists of a [CD, DVD, OR FLASH DRIVE] containing [NUMBER] accounts/claims in [ASCII, MS EXCEL, OR MS ACCESS] format with [NUMBER OF TRANSACTIONS] transactions for PG&E Securities as well as the opening and closing positions held for [ENTITY]'s proprietary accounts. Each transaction contains corresponding account information for which the claims are being filed.

The total number of PG&E Common Stock, Preferred Stock, Exchange-Traded Options on PG&E Common Stock, Exchange Act PG&E Notes, and/or Securities Act PG&E Notes shares purchased or acquired [##.##] for the amount of [\$0.0000] and PG&E Common Stock, Preferred Stock, Exchange-Traded Options on PG&E Common Stock, Exchange Act PG&E Notes, and/or Securities Act PG&E Notes shares sold [##.##] for the amount of [\$0.0000] can be found on the enclosed [CD, DVD, OR FLASH DRIVE].

We, [ENTITY], attest that the data provided on the media attachment corresponds to [ENTITY]'s internal records.

I attest that the above information is true and correct.

Signature

Company Name

Job Title

Contact Information (including telephone number, fax number, and email address).



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Appendix E

Electronic Claim Filing Template Mapping Instructions

Column	Field Name	Max Length	PG&E PUBLICLY TRADED COMMON STOCK PG&E PREFERRED STOCK FIELD DESCRIPTION
A	Account Number	40	Account number associated with the proprietary account for which transactions are being submitted with this Proof of Claim and Release.
B	Account Name	40	Name of individual, company, or entity associated with the account listed in Column A.
C	Full Name of the Beneficial Owner	40	Full name of the beneficial owner associated with the account listed in Column A.
D	TIN of the Beneficial Owner	9	Taxpayer identification number (TIN) for beneficial owner, no spaces and no dashes.
E	Beneficial Owner TIN Type (E/S/U/F)	1	E = Employer Identification Number (EIN); S = Social Security Number (SSN); U = Unknown; F = Foreign.
F	Care of:	40	Name of the entity to which items should be mailed.
G	Attn:	40	Name of the person to whose attention items should be mailed.
H	Did you file a Rescission or Damage Claim in Chapter 11 Cases? (Y/N)	1	Did you file a Rescission or Damage Claim in Chapter 11 Cases? (Y/N)
I	Claim Number	40	If Yes to filing a Rescission or Damage Claim in Chapter 11 Cases, provide claim number
J	Street 1	40	Street Address 1
K	Street 2	40	Street Address 2
L	City	25	City
M	State	2	State
N	Zip Code	5	Zip code
O	Province	40	Province
P	Country	40	Country
Q	CUSIP/ISIN	10	CUSIP number or ISIN for common stock.
R	Transaction Type/Holdings	2	O = Opening position - shares held at opening of trading on 04/29/2015 P = Purchases made between 04/29/2015 through 02/12/2019, inclusive; FR = Free receipts made between 04/29/2015 through 02/12/2019, inclusive; S = Sales made between 04/29/2015 through 02/12/2019, inclusive; FD = Free deliveries made between 04/29/2015 through 02/12/2019, inclusive; C = Closing position – shares held at close of trading on 02/12/2019
S	Trade Date (MM/DD/YYYY)	10	Trade date for transaction or holding date for closing position.
T	Number of Shares of Stock	19	Number of shares associated with a transaction. No commas: use decimal point if needed. Up to four digits after decimal point.
U	Price Per Share EXCLUDING Commissions/Taxes/Fees	19	Price per share (USD), excluding commissions/taxes/fees, associated with a transaction. No dollar sign, no commas; use decimal point, if needed. Up to four digits after decimal point. Leave blank when providing closing positions. Use zero when specifying free receipts and/or free deliveries.
V	Total Price EXCLUDING Commissions/Taxes/Fees	19	Aggregate cost or proceeds received (USD), excluding commissions/taxes/fees. No dollar sign, no commas; use decimal point, if needed. Up to four digits after decimal point. Use zero when specifying free receipts and/or free deliveries.

***All eligible common stock shares and/or preferred stock for each account must balance. This means that the opening position plus total purchases, and free receipts during the Class Period and Lookback Period MUST EQUAL the total sales and free deliveries during the Class Period and Lookback Period plus the closing position (O+P+FR+ =S+FD+C).**

***You MUST include a cover letter with your electronic file that provides the total number of accounts; total number of transactions; total number of purchases and sales; and contact name(s) with phone number(s) and email address(es) in the event that we have any questions or require further information. See Appendix D for a sample cover letter. Any electronic files not in accordance with these Electronic Claims Filing Guidelines are subject to rejection.**

Free Receipts and Free Deliveries are provided to balance your claim and will not result in a Recognized Loss under the Plan of Allocation. The original purchase and/or sale information relating to these shares must be provided in your original filing in order to be eligible under the Plan of Allocation. There will be no further attempt to collect transactional information regarding Free Receipts/Deliveries included in the filing.



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Appendix E

Column	Field Name	Max Length	EXCHANGE-TRADED OPTIONS ON PG&E COMMON STOCK FIELD DESCRIPTION
A	Account Number	40	Account number associated with the proprietary account for which transactions are being submitted with this Proof of Claim and Release.
B	Account Name	40	Name of individual, company, or entity associated with the account listed in Column A.
C	Full Name of the Beneficial Owner	40	Full name of the beneficial owner associated with the account listed in Column A.
D	TIN of the Beneficial Owner	9	Taxpayer identification number (TIN) for beneficial owner, no spaces and no dashes.
E	Beneficial Owner TIN Type (E/S/U/F)	1	E = Employer Identification Number (EIN); S = Social Security Number (SSN); U = Unknown; F = Foreign.
F	Care of:	40	Name of the entity to which correspondence should be mailed.
G	Attn:	40	Name of the person to whose attention correspondence should be mailed.
H	Did you file a Rescission or Damage Claim in Chapter 11 Cases? (Y/N)	1	Did you file a Rescission or Damage Claim in Chapter 11 Cases? (Y/N)
I	Claim Number	40	If Yes to filing a Rescission or Damage Claim in Chapter 11 Cases, provide claim number
J	Street 1	40	Street Address 1 for fund distribution
K	Street 2	40	Street Address 2 for fund distribution
L	City	25	City for fund distribution
M	State	2	State for fund distribution
N	Zip Code	5	Zip code for fund distribution
O	Province	40	NON-US ONLY: Province and Postal Code for fund distribution.
P	Country	40	Country for fund distribution
Q	PUT/CALL	10	Type of option
R	Transaction Type/Holdings	2	O = Opening position – Call/Put Options held as of the opening of trading on 04/29/2015 P = Purchases made between 04/29/2015 through 11/15/2018, inclusive; FR = Free receipts made between 04/29/2015 through 11/15/2018, inclusive; S = Sales made between 04/29/2015 through 11/15/2018, inclusive; FD = Free deliveries made between 04/29/2015 through 11/15/2018, inclusive; C = Closing position – Call/Put Options held at close of trading on 11/15/2018
S	Trade Date	10	Trade date for transaction or holding date for opening and closing positions
T	Number of Options contracts	19	Number of contracts associated with a transaction. No commas; use decimal point if needed. Up to four digits after decimal point.
U	Price Per Share EXCLUDING Commissions/Taxes/Fees	19	Price per share (USD) of option, excluding commissions/taxes/fees, associated with a transaction. No dollar sign, no commas; use decimal point, if needed. Up to four digits after decimal point. Leave blank when providing opening positions or closing positions. Use zero when specifying free receipts and/or free deliveries.
V	Total Price EXCLUDING Commissions/Taxes/Fees	19	Aggregate cost or proceeds received (USD), excluding commissions/taxes/fees. No dollar sign, no commas; use decimal point, if needed. Up to four digits after decimal point. Use zero when specifying free receipts and/or free deliveries.
W	Expiry Month (MM/YYYY)	13	Expiration Date of Option Contract
X	Strike Price (\$xxx.xxxx)	19	Strike price.
Y	Status (X/A/E)	1	Status of Option Contract (if during Class Period). X = Expire, A = Assign, E = Exercise. If this status changed after the Class Period provide a record with a Closing Position Transaction Type in field P
Z	Assignment/Exercise Date (MM/DD/YYYY)	13	Date of Option, Assignment, or Exercise (If during Class Period.) If this status changed after the Class Period provide a record with a Closing Position Transaction Type in field P

***All eligible Exchange-Traded Option Contracts for each contract for each account must balance. This means that the opening position plus total purchases, and free receipts during the Class Period plus MUST EQUAL the total sales and free deliveries during the Class Period plus the closing position (O+P+FR=S+FD+C).**

*** You MUST include a cover letter with your electronic file that provides the total number of accounts; total number of transactions; total number of purchases and sales; and contact name(s) with phone number(s) and email address(es) in the event that we have any questions or require further information. See Appendix D for a sample cover letter. Any electronic files not in accordance with these Electronic Claims Filing Guidelines are subject to rejection.**

***Free Receipts and Free Deliveries are provided to balance your claim and will not result in a Recognized Loss under the Plan of Allocation. The original purchase and/or sale information relating to these shares must be provided in your original filing in order to be eligible under the Plan of Allocation.**

Appendix E

Column	Field Name	Max Length	EXCHANGE ACT PG&E NOTES SECURITIES ACT PG&E NOTES ¹ FIELD DESCRIPTION
A	Account Number	40	Account number associated with the proprietary account for which transactions are being submitted with this Proof of Claim and Release.
B	Account Name	40	Name of individual, company, or entity associated with the account listed in Column A.
C	Full Name of the Beneficial Owner	40	Full name of the beneficial owner associated with the account listed in Column A.
D	TIN of the Beneficial Owner	9	Taxpayer identification number (TIN) for beneficial owner, no spaces and no dashes.
E	Beneficial Owner TIN Type (E/S/U/F)	1	E = Employer Identification Number (EIN); S = Social Security Number (SSN); U = Unknown; F = Foreign.
F	Care of:	40	Name of the entity to which distributions should be mailed.
G	Attn:	40	Name of the person to whose attention distributions should be mailed.
H	Did you file a Rescission or Damage Claim in Chapter 11 Cases? (Y/N)	1	Did you file a Rescission or Damage Claim in Chapter 11 Cases? (Y/N)
I	Claim Number	40	If Yes to filing a Rescission or Damage Claim in Chapter 11 Cases, provide claim number
J	Street 1	40	Street Address 1 for fund distribution.
K	Street 2	40	Street Address 2 for fund distribution.
L	City	25	City for fund distribution.
M	State	2	State for fund distribution.
N	Zip Code	5	Zip code for fund distribution.
O	Province	40	NON-US ONLY: Province and Postal Code for fund distribution.
P	Country	40	Country for fund distribution.
Q	CUSIP/ISIN	10	CINS number or ISIN for common units.
R	Transaction Type/Holdings	2	O = Opening position – Exchange Act PG&E Notes and/or Securities Act PG&E Notes held as of the opening of trading on 04/29/2015. P = Purchases made between 04/29/2015 through 02/12/2019 for Exchange Act Notes, or through June 30, 2020 for Securities Act Notes, inclusive; FR = Free receipts made between 04/29/2015 through 02/12/2019 for Exchange Act Notes, or through June 30, 2020 for Securities Act Notes, inclusive; S = Sales made between 04/29/2015 through 02/12/2019 for Exchange Act Notes, or through June 30, 2020 for Securities Act Notes, inclusive; FD = Free deliveries made between 04/29/2015 through 02/12/2019 for Exchange Act Notes, or through June 30, 2020 for Securities Act Notes, inclusive; C = Closing position – Notes held at close of trading on 02/12/2019 for Exchange Act Notes, or held at close of trading on July 1, 2020 for Securities Act Notes
S	Trade Date	10	Trade date for transaction or holding date for opening and closing positions
T	Total Principal Amount Excluding Commissions/Taxes/Fees	19	Total principal amount purchased/sold with a transaction. No commas; use decimal point if needed. Up to four digits after decimal point.
U	Price EXCLUDING Commissions/Taxes/Fees	19	Price (USD) of Exchange Act PG&E Notes, excluding commissions/taxes/fees, associated with a transaction. No dollar sign, no commas; use decimal point, if needed. Up to four digits after decimal point. Leave blank when providing opening positions or closing positions. Use zero when specifying free receipts and/or free deliveries. Price per Note is generally provided as percentage of Par Value (i.e., \$100 represents a \$1,000 Note that is currently trading at par).
V	Total Price EXCLUDING Commissions/Taxes/Fees	19	Aggregate cost or proceeds received (USD), including commissions/taxes/fees. No dollar sign, no commas; use decimal point, if needed. Up to four digits after decimal point. Use zero when specifying free receipts and/or free deliveries.

***All eligible PG&E Exchange Act Notes for each account must balance. This means that the opening position plus total purchases, and free receipts during the Class Period MUST EQUAL the total sales and free deliveries during the Class Period plus the closing position (O+P+FR+L=S+FD+C).**

*** You MUST include a cover letter with your electronic file that provides the total number of accounts; total number of transactions; total number of purchases and sales; and contact name(s) with phone number(s) and email address(es) in the event that we have any questions or require further information. See Appendix D for a sample cover letter. Any electronic files not in accordance with these Electronic Claims Filing Guidelines are subject to rejection.**

***Free Receipts and Free Deliveries are provided to balance your claim and will not result in a Recognized Loss under the Plan of Allocation. The original purchase and/or sale information relating to these shares must be provided in your original filing in order to be eligible under the Plan of Allocation.**

¹ The Securities Act Notes are: (i) CUSIP 694308HP5, 2.95% Notes due March 1, 2026; (ii) CUSIP 694308HQ3, 1.51778% Notes due November 30, 2017; (iii) CUSIP 694308HR1, 4.00% Notes due December 1, 2046; (iv) CUSIP 694308HS9, 3.3% Notes due March 15, 2027; (v) CUSIP 694308HU4, 2.54138% Notes due November 28, 2018; (vi) CUSIP 694308HW0, 3.3% Notes due December 1, 2027; and (vii) CUSIP 694308HY6, 3.95% Notes due December 1, 2047

Appendix F

Electronic Claim Filing Template Mapping Instructions Wire Request (Optional)

Column	Field Name	Max Length
A	Settlement Name	
Domestic Wire		
B	Beneficiary Name*	
C	Beneficiary Account #*	25
D	Bank Routing # (ABA#)*	9
E	Bank Name*	
F	Bank Address*	
G	Further Credit or other instructions	
International Wire***		
I	Swift #*	11
J	Bank Name*	
K	IBAN #*	34
L	Currency*	
M	Country	
N	Beneficiary Address	
O	Intermediary Bank Name	
P	Intermediary Bank Routing # (ABA#)	9
Q	Further Credit or other instructions	

***Required Fields**

****Eligible claims that meet the requirements for a share of the distribution in this submission, will be paid via mailed check unless the wire template is filled out in its entirety. Any missing or inaccurate information provided will result in default of the payment being sent via mailed check.**

*****If wire details are for a domestic transfer, please leave international wire section blank.**